PTO/SB/21 (09-04) (AW 10/2004)
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TRANSMITTAL FORM

(to be used for all correspondence after initial filing)

Total Number of Pages in This Submission 5 (+ cited Refs.)

Application Number	09/920,984	
Filing Date	August 2, 2001	
First Named Inventor	Kentaro Miyano, et al.	
Art Unit	2642	
Examiner Name	Q.H. Nguyen	
Attorney Docket No.	MAT 8170US	

	ENC	LO	SURES (Check all t	hat apply)	
Fee Transmittal Form Fee Attached	, []	Drawing(s) Licensing-related Paper	'S		After Allowance Communication to TC
Amendment/Reply After Final		_] _	Petition			Appeal Communication to Board of Appeals and Interferences
Affidavits/Deck	· · · [」 ┐	Petition to Convert to a Provisional Application Power of Attorney, Revo	ocation		Appeal Communication to TC (Appeal Notice, Brief, Reply Brief)
Extension of Time Re		_	Change of Corresponde Address			Proprietary Information Status Letter
Information Disclosur	_		Terminal Disclaimer Request for Refund		\boxtimes	Other Enclosure(s) (please identify below): PTO-1449; European Search Report; (6)
Certified Copy of Price	prity Document(s)		CD, Number of CD(s) _ Landscape Table			Cited References; Return Receipt Postcard
Response to Missing Incomplete Application Response to Munder 37 CFR	on Rei Missing Parts	ma	rks:			- A - A - A - A - A - A - A - A - A - A
\wedge	SIGNATURE OF	· A	PPLICANT, ATTORN	EY OR AC	SENT	."
Firm Name RatnerPrestia Signature Printed Name Lawrence E. Ashery Date November 9, 2004 Registration No. 34,515						
CERTIFICATE OF TRANSMISSION / MAILING						
I hereby certify that this correspondence is being facsimile transmitted to the USPTO or deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on the date shown below:						
Signature	Donna M	η.	Wellings			
Typed or Printed Name	Donna M. Wellings		/		Date	November 9, 2004

This collection of information is required by 37 CFR 1.5. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Office, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, ALEXANDRIA, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.

MAT-8170US PATENT



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Appln. No:

09/920,984

Applicant:

Kentaro Miyano, et al.

Filed:

August 2, 2001

Title:

METHOD OF CALCULATING EXCITING COEFFICIENTS FOR CIRCULAR

ARRAY ANTENNA AND RADIO UNIT UTILIZING THE SAME

TC/A.U.:

2642

Examiner:

Quynh H. Nguyen

SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

Pursuant to 37 C.F.R. §§ 1.97 and 1.98 and to the duty of disclosure set forth in 37 C.F.R. § 1.56, the Examiner in charge of the above-identified application is requested to consider and make of record the references listed on the PTO 1449 (RP) submitted herewith. A copy of the references listed on the 1449 form is enclosed.

Although the information submitted herewith may be "material" to the Examiner's consideration of the subject application, this submission is not intended to constitute an admission that such information is "prior art" as to the claimed invention.

In accordance with 37 C.F.R. § 1.97(g), the filing of this Information Disclosure Statement shall not be construed to mean that a search has been made.

More than three months have elapsed since the filing of the above-referenced application, and no first (non-Final) Official Action has yet been received and it is presumed that none has yet been mailed. No fee is required, 37 C.F.R. § 1.97(b). Accordingly, as more specifically indicated below, a statement as required by 37 C.F.R. § 1.97(c) is provided herewith.

STATEMENT

The undersigned hereby states that each item of information disclosed in the Information Disclosure Statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of the

Information Disclosure Statement.

espectfully submitted

Lawrence E. Ashery, Reg. No. 34,515 Attorney for Applicants

LEA/dmw

Enclosures: PTO Form 1449

(6) References Transmittal Form

Dated: November 9, 2004

P.O. Box 980 Valley Forge, PA 19482 (610) 407-0700

The Commissioner for Patents is hereby authorized to charge payment to Deposit Account No. 18-0350 of any fees associated with this communication.

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail, with sufficient postage, in an envelope addressed to Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on November 9, 2004.

Jonna M

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Substitute for Form 1449A/PTO

INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(Use as many sheets as necessary)

SHEET 1 of 2

Complete if Known		
Application Number	09/920,984	
Filing Date	August 2, 2001	
First Named Inventor	Kentaro Miyano, et al.	
Art Unit	2642	
Examiner Name	Quynh H. Nguyen	
Attorney Docket No.	MAT-8170US	

	U.S. PATENT DOCUMENTS						
		Document Number			Pages, Columns, Lines, Where		
Examiner Initials*	Cite No. ¹	Number - Kind Code ^{2 (if known)}	Publication Date (MM-DD-YYYY)	Name of Patentee or Applicant of Cited Document	Relevant Passages or Relevant Figures Appear		
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FOREIGN PATENT DOCUMENTS						
Examiner Initials*	Cite No.1	Foreign Patent Document Country Code ³ - Number ⁴ - Kind Code ^{5 (If known)}	Publication Date (MM-DD-YYYY)	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T
		EP 0 227 910 A	07/08/1987	ALLIED CORPORATION		
		EP 1 079 461 A	02/28/2001	MATSUSHITA ELECTRIC INDUSTRIAL CO., LTD.		
		,				
Examiner				Date	T	

Signature	Considered	

⁶Applicant is to place a check mark here if English language translation is attached.

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^{*}EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to Applicant.

Applicant's unique citation designation number (optional).

²See Kind Codes of USPTO Patent Documents at <u>www.uspto.gov</u> or MPEP 901.04.

³Enter Office that issued the document, by the two-letter code (WIPO Standard St.3).

For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document.

⁵Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible.

Substitute for Form 1449B/PTO

INFORMATION DISCLOSURE

STATEMENT BY APPLICANT (Use as many sheets as necessary)

SHEET 2 of 2

	Complete if Known	
Application Number	09/920,984	
Filing Date	August 2, 2001	
First Named Inventor	Kentaro Miyano, et al.	
Art Unit	2642	
Examiner Name	Quynh H. Nguyen	
Attorney Docket No.	MAT-8170US	

Considered

		NON-PATENT LITERATURE DOCUMENTS	
Examiner Initials*	Cite No.1	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published	T ²
		F. I. TENG, D. K. CHENG: "pattern synthesis of circular arrays with many directive elements"; IEEE TRANSACTIONS ON ANTENNAS AND PROPAGATION, vol. 16, November 1968 (1968-11), pages 758-759.	
		TEWFIK A H ET AL: "On the equivalence of uniform circular arrays and uniform linear arrays"; IEEE, 10 October 1990 (1990-10-10), pages 139-143.	
		KUBOTA K ET AL: "AN ADAPTIVE ZONE CONFIGURATION SYSTEM USING ARRAY ANTENNAS"; DENSHI JOHO TSUSHIN GAKKAI GIJUTSU KUNKYU HOKOKU - IEICE TECHNICAL REPORT, DENSHI JOHO TSUSHIN GAKKAI, TOKYO, JP, September 1995 (1995-09), pages 53-58.	
		ARES F ET AL: "Synthesis of antenna patterns of circular arc arrays". ELECTRONICS LETTERS, IEE STEVENAGE, GB, vol. 32, no. 20, 26 September 1996 (1996-09-26), pages 1845-1846.	
		European Search Report for EP 01 11 7716, dated October 11, 2004.	
Examiner Signature		Date Considered	

^{*}EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to Applicant.

¹Applicant's unique citation designation number (optional).
²Applicant is to place a check mark here if English language translation is attached.

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